



Athletics Risk Management & Compliance Services

Navigating NCAA Rules for Successful Internal Audits

**2015 TACUA Conference
March 3, 2015**



Overview

- Navigating the NCAA Rules
- Legislative Changes / Hot Topics
 - Division I
 - Division II
- What Resources are Available?
- Partnership with Internal Audits
- Best Practices for Staying Updated



Navigating the NCAA Rules

Constitution

Articles 1 through 6 are the constitution, which consists of information relevant to the purposes of the Association, its structure, its membership and legislative-process information, and the more important principles for the conduct of intercollegiate athletics.

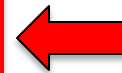
- Article 1** Name, Purposes and Fundamental Policy
- Article 2** Principles for Conduct of Intercollegiate Athletics
- Article 3** NCAA Membership
- Article 4** Organization
- Article 5** Legislative Authority and Process

- Article 6** Institutional Control

Operating Bylaws

Articles 10 through 22 are the operating bylaws, which consist of legislation adopted by the membership to promote the principles enunciated in the constitution and to achieve the Association's purposes.

- Article 10** Ethical Conduct
- Article 11** Conduct and Employment of Athletics Personnel
- Article 12** Amateurism and Athletics Eligibility
- Article 13** Recruiting
- Article 14** Eligibility: Academic and General Requirements
- Article 15** Financial Aid
- Article 16** Awards, Benefits and Expenses for Enrolled Student-Athletes
- Article 17** Playing and Practice Seasons
- Article 18** Championships and Postseason Football
- Article 19** Enforcement
- Article 20** Division Membership
- Article 21** Committees
- Article 22** Institutional Performance Program



Most commonly
audited bylaws

Administrative Bylaws

Articles 31 and 33 are administrative bylaws, which set forth policies and procedures for the implementation of the NCAA championships and the business of the Association and the Division I institutional performance program. These administrative bylaws may be adopted or modified by the Division I Board of Directors or Legislative Council for the efficient administration of the activities that they govern. These same bylaws also may be amended by the membership through the regular legislative process.

- Article 31** Executive Regulations
- Article 33** Institutional Performance Program Policies and Procedures



Recent Legislative Changes - Division I

- Governance Structure
- Bylaw 11 – Conduct and Employment of Athletics Personnel
- Bylaw 12 – Amateurism
- Bylaw 13 – Recruiting
- Bylaw 14 – Eligibility
- Bylaw 15 – Financial Aid
- Bylaw 16 – Awards and Benefits
- Bylaw 17 – Playing and Practice Seasons



Governance Structure - Division I

- Shared Governance
- Autonomy
- Interpretive Philosophy



Bylaw 11 – Personnel - Division I

- Head Coach Control
- Recruiting Coordination Functions
- Countable Coach Definition
- Strength Coaches
 - Limit of Five in Football
 - Nationally Recognized Certification
- Scouting of Opponents



Bylaw 12 – Amateurism - Division I

- Definition of Agent
- Payment Based on Performance
 - Pre-enrollment
 - Post-enrollment



Bylaw 13 – Recruiting - Division I

- **Communication with Prospects**
 - Initial date for communication/contact
 - Unlimited phone calls
 - Deregulation of electronic correspondence
 - Recruiting Materials

- **Official Visits**
 - Meals for four family members in football
 - Transportation of parents/legal guardians in basketball
 - Visit in junior year in basketball
 - Complimentary tickets for non-traditional family

- **Recruiting/Scouting Services**

- **Employment of Student-Athletes and Signees in Camps/Clinics**



Bylaw 14 – Eligibility - Division I

- Freshman Academic Requirements
- 2-Year College Transfers



Bylaw 15 – Financial Aid - Division I

- Outside Scholarships
- Multi-year Agreements
- Definition of Full Grant-in-Aid
 - Cost of Attendance
- No Athletics Reasons in Reduction/Non-Renewal



Bylaw 16 – Awards and Benefits- Division I

- Meals Incidental to Participation
- Expenses for Practice and Competition
- Team Entertainment
- National Team Tryouts, Practice, Competition
- Academic Support and Medical Expenses
- Expenses to Receive Non-Institutional Awards
- Expenses for Family Members



Bylaw 17 – Playing Seasons - Division I

- **Summer Athletic Activities**
 - Men's and Women's Basketball
 - Football

- **First Aid, CPR, and AED Certification**



Hot Topics – Division I

- Academic Integrity
- Use of Student-Athlete's Name, Image, Likeness
- Benefits from Agents
- Third-Party Involvement in Recruiting
- Payment of Expenses for Prospect's Unofficial Visits
- Impermissible Contact with Prospects



Recent Legislative Changes - Division II

- Initial Eligibility Standards – Effective 8/1/18
- Progress Toward Degree – Effective 8/1/16
- 2-Year Transfer Academic Redshirt – Effective 8/1/16



Recent Legislative Changes - Division II

- Contact with Signees During Competition
- Non-athletics Advertisements in HS/2-Year College Publications
- Prospect Tryouts June 15 Preceding Junior Year
- Expenses for Student-Athletes and Parents to Receive Awards
- Official and Unofficial Visits
 - No comp tickets to conference tournaments
 - Transcript required prior to official visit
 - Official Visits may occur June 15 preceding junior year
 - Meals during official visits
 - One meal during unofficial visit
 - Arrange parking during unofficial visit



Hot Topics - Division II

- Financial Aid Review
- Student-Athlete Time Demands/Life Balance
- DII Membership



What Resources are Available?

- ACUA NCAA Audit Guides
- NAAC Reasonable Standards - <http://www.nacda.com/naacc/naacc-reasonable-standards.html>
- Division IA Institutional Control Standards (handout)
- Relevant Major Infractions Cases
- Risk Assessments



Partnership with Internal Audits

- Collaborate on Audit Plan / Priorities
- Utilize Athletics Compliance Staff
 - Review ACUA NCAA Audit Guides for Updates
 - Gain Understanding of Key Issues for Focus
- Obtain/Review Recent NCAA Infractions Cases



Staying Up to Date

- Attend NCAA-Related Conferences/Conventions
 - NCAA Regional Rules Seminar
 - NCAA Convention
 - National Association for Athletics Compliance (NAAC)
 - Conference Workshops
- NAAC Education Certification
- Use Campus Educational Resources
 - Coaches Meetings
 - Newsletters
 - Training Materials/Modules



Questions?

Lori Hammond

Association Athletics Director

lhammond@utexas.edu

512-471-5569

Blake Barlow

Assistant Athletics Director

blake.barlow@athletics.utexas.edu

512-471-9778